Result-Framework Document (RFD) of the Department of Higher Education
For the Year 2009-2010 (January 2010 to March 2010)

SECTION 1:
Vision, Mission, Objectives and Functions

Vision:

To realize India's human resource potential to its fullest, with equity and inclusion.

Mission:

(i)   Provide greater opportunities of access to higher education with equity to all the eligible persons and in particular to the vulnerable sections.

(ii)   Expand access by supporting existing institutions, establishing new institutions, supporting State Governments and Non-Government Organizations / civil society to supplement public efforts aimed at removing regional or other imbalances that exist at present.

(iii)   Initiate policies and programmes for strengthening research and innovations and encourage institutions – public or private -- to engage in stretching the frontiers of knowledge.

(iv)   Skill development so as to reap the benefits of the demographic advantage of the country.

(v)   Promote the quality of higher education by investing in infrastructure and faculty, promoting academic reforms, improving governance and institutional restructuring towards the inclusion of the hitherto deprived communities.

(vi)   Engage with civil society, state governments and with the international community in furtherance of knowledge, language and culture.

Main Objectives:

1. Access, Participation and Expansion

- To expand the higher education sector in all its modes of delivery to increase the Gross Enrolment Ratio (GER) in higher education to 15% by 2011-12 and to 30% by 2019-20.

- To expand institutional base of higher education by creating additional capacity in existing institutions, establishing new institutions and incentivising state governments and Non-Governmental Organizations / civil society.

2. Equity and Inclusion

- To provide opportunities of higher education to socially deprived communities and remove disparities by promoting the inclusion of women, minorities and differently abled persons.

- To remove regional imbalances in access to higher education by setting up of institutions in unserved and underserved areas.

3. Quality enhancement

- To enhance plan support infrastructure and faculty development in the institutions of higher learning and to attract talent towards careers in teaching and research.

- To create conditions for knowledge generation through improved research facilities in universities and colleges.

- To promote collaboration with International community, foreign governments, universities/institutions and regional and international institutions, for the advancement of universal knowledge and intellectual property rights.

4. Governance reforms

- To promote autonomy, innovations and academic reforms in institutions of higher learning.
- To undertake institutional restructuring for improving efficiency, relevance and creativity in higher education.

5. Skill Development and Vocational Training

- To establish new institutions and upgrade the existing skill development to provide necessary skills, including re-training, so as to create opportunities for all to acquire skills throughout life, and especially for youth, women and disadvantaged groups.

6.   Development of languages

- Promotion of Indian languages including classical languages, on the one hand, and English and foreign languages, on the other.

Section 2 -Format of RFD

Column1	Column 2	Column 3	Column 4		Column 5	Column 6				
Objective	Weight (Out of total 95 points)	Actions	Success Indicator	Unit	Weightage (Out of total 95 points)	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
Access, Participation and Expansion	35%	Setting up of 16 new Central Universities	Site identification	16 New Universities	2	16	10	7	4	2
			Appointment of Registrar and FO	16 New Universities	2	16	10	7	4	2
			Approval of Prospectus for admission for two courses 2010-2011.	16 New Universities	3	16	10	7	4	2

Column1	Column 2	Column 3	Column 4		Column 5	Column 6				
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						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		Consolidation of 6 new IITs.	Commencement of Post graduate and research courses	6 New IITs	5	5	4	3	2	1
			Transfer of land to Societies for the permanent campuses to the Societies	6 New IITs	5	6	4	3	2	1
			Appointment of Architects for Master Plan.	6 New IITs	4	4	2	1	1	1
		Operationalizing of 4 new IIMs of the first phase	Complete work of renovation of temporary campus.	4 New IIMs	3	4	3	2	1	1
			Transfer of land to the Society of IIM.	4 New IIMs	3	3	2	2	1	1

Column1	Column 2	Column 3	Column 4		Column 5	Column 6				
Objective	Weight (Out of total 95 points)	Actions	Success Indicator	Unit	Weightage (Out of total 95 points)	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		Consolidation of 5 IISERs	Release of funds	5 new IISERs	2	5	4	3	2	1
			Award of Contract for commencement of work on permanent campus.	5 new IISERs	2	5	4	3	2	1
		Setting up of 10 New NITs	Execution of MOAs	10 New NITs	2	10	7	5	3	1
			Registration of Societies	10 New NITs	2	10	6	4	2	1
Equity / Inclusion	25%	Implementation of Central Educational Institutions (Reservation in Admission) Act, 2006	Average 36 percentage expansion in capacity in each Central Educational Institution.	36 percentage increase	10	36	32	30	28	26

Column1	Column 2	Column 3	Column 4		Column 5	Column 6				
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						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		Women's Hostels in HEI	Release of funds to 100 institutions.	100 instit ution s	5	100	90	80	75	70
		Interest Subsidy Scheme for needy students	Timely issue of Notification	1st Feb 2010	5	1st Feb 2010	8th Feb 2010	15th Feb 2010	22nd Feb 2010	1st Mar c h 2010
		Removal of Regional Imbalances by establishmen t of Regional Degree Colleges in 374 identified educational backward districts.	Timely submission of proposal before CCEA.	2nd Mar ch 2010	5	2nd March 2010	8th Marc h 2010	15th March 2010	22nd Marc h	31st Mar ch 2010

Column1	Column 2	Column 3	Column 4	Unit	Column 5	Column 6				
Objective	Weight (Out of total 95 points)	Actions	Success Indicator		Weightage (Out of total 95 points)	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
Quality Enhancement	16	Setting up Innovation Universities	Timely circulation of the Cabinet Note for comments.	2nd March 2010	4	2nd March 2010	8th March 2010	15th March 2010	22nd March 2010	29th Mar. 2010
		Implementation of TEQIP II for excellence in Technical Education	Timely submission of Cabinet Note to Cabinet Secretariat and selecting State Governments for competitive funding	2nd March 2010	4	2nd March 2010	8th March 2010	15th March 2010	22nd March 2010	29th Mar. 2010
		Establishment of Mahatma Gandhi Institute as Category1 institute of UNESCO	Timely preparation of proposal and submission to EFC	2nd March 2010	1	2nd March 2010	8th March 2010	15th March 2010	22nd March 2010	29th Mar. 2010
		Law for mandatory accreditation of higher educational institutions	Timely submission of Cabinet Note and draft Bill to the Cabinet Secretariat	2nd March 2010	4	2nd March 2010	8th March 2010	15th March 2010	22nd March 2010	29th Mar. 2010

Column1	Column 2	Column 3	Column4		Column 5	Column 6				
Objective	Weight (Out of total 95 points)	Actions	Success Indicator	Unit	Weightage (Out of total 95 points)	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		Foreign Providers Bill	Timely submission of Cabinet Note and draft Bill to the Cabinet Secretariat	2nd March 2010	3	2nd March 2010	8th March 2010	15th March 2010	22nd March 2010	29th Mar. 2010
Governance Reforms.	12	AICTE Reforms	Implementation of e-governance	Timely implementation by 2nd March 2010	3	2nd March 2010	8th March 2010	15th March 2010	22nd March 2010	29th Mar. 2010
			Review of Handbook of Approvals	Timely implementation by 2nd March 2010	2	2nd March 2010	8th March 2010	15th March 2010	22nd March 2010	29th Mar. 2010

Column1	Column 2	Column 3	Column 4		Unit	Column 5	Column 6				
Objective	**Weight**	**Actions**	**Success Indicator**		**Unit**	**Weightage (Out of total 95 points)**	**Target / Criteria Value**				
							Excellent	**Very Good**	**Good**	**Fair**	**Poor**
							100%	90%	80%	70%	60%
			Formation of NBA Society		Timely implementation by 1st March	3	2nd March 2010	8th March 2010	15th March 2010	22nd March 2010	29th Mar. 2010
		Amendment to Copyright Act.	Timely submission of Cabinet Note and draft Bill to		Timely implementation by 1st Feb. 2010	3	1st Feb 2010	8th Feb. 2010	15th Feb. 2010	22nd Feb. 2010	2nd March 2010
		Online registration of Copyrights	Operationalization of on line application system		2nd March 2010	1%	2nd March 2010	8th March 2010	15th March 2010	22nd March 2010	29th March 2010

Column1	Column 2	Column 3	Column4	Unit	Column 5	Column 6				
Objective	Weight	Actions	Success Indicator	Unit	Weightage (Out of total 95 points)	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
Skill Development and Vocational Training	5%	Strengthening of existing Skill Development Institutions	Financial grants for upgradation of existing polytechnics	45 Polytechnics	2.5	45	40	30	20	10
			Financial grants for Setting up of new Women Hostel in Polytechnics	80 Polytechnics	2.5	80	60	40	20	10
Development of Languages.	2%	National Translation Mission – operationalization	Timely approval and funding of projects	2nd March 2010	1	2nd March 2010	8th March 2010	15th March 2010	22nd March 2010	29th Mar. 2010
		Operationalization of CICT	Appointment of Director	2nd March 2010	1	2nd March 2010	8th March 2010	15th March 2010	22nd March 2010	29th Mar. 2010

Section – 3 : TREND VALUES

The trend Value is not relevant in this RFD as most of the initiatives proposed are altogether new for achievement of the stated objectives.

Objective	Actions	Success Indicator	Unit	Actual Value for FY 07/08	Actual Value for FY 08/09	Target Value for FY 09/10	Projected Value for FY 10/11	Projected Value for FY 11/12
Expansion of access to Higher Education	Consolidation of new IITs	Number of M.Tech/PhD seats created	No	Not applicable	10	40	75	100
	Operationalising of new IIMs in the Ist phase	Number of PGP seats created	No	Not Applicable	Not Applicable		140 PGP seats	280 PGP seats
Equity / Inclusion	Implementation of Central Educational Institutions (Reservation in Admission) Act, 2006	Average percentage expansion in capacity in each Central Educational Institution (IITs/IIMs).	%	Not Applicable	18%	18%	18%	Not applicable
Excellence including Governance Reforms.	AICTE Reforms	Implementation of e-governance	There are no trend values for these success indicators as these are new actions and not quantifiable.					
		Review of Handbook of Approvals						
		Formation of NBA Society						
	Implementation of TEQIP II for excellence in Technical Education	Seeking Cabinet approval and selecting State Governments for competitive funding.						

Section 4

Description and Definition of Success Indicators and proposed measurement methodology

The Acronyms used in this document are as under :-

AICTE	-	All India Council for Technical Education.
CCEA	-	Cabinet Committee on Economic Affairs
CICT	-	Central Institute of Classical Tamil.
EFC	-	Expenditure Finance Committee.
F.O.	-	Finance Officer
GER	–	Gross Enrolment Ratio.
HEI	-	Higher Educational Institutions
IIM	-	Indian Institute of Management.
IISERs	-	Indian Institute of Scientific Education and Research.
IIT	-	Indian Institute of Technology.
MOA	-	Memorandum of Association
NIT	-	National Institute of Technology.
PGP	-	Postgraduate Programme in Management
TEQIP	-	Technical Education Quality Improvement Programme.
UNESCO	-	United Nations Educational, Scientific and Cultural Organization

The success indicators in Table I are basically steps which are to taken towards achieving the action point listed, which put together will result in the larger objectives identified by the Department of Higher Education i.e. Expansion, Equity and Excellence. These three broad objectives have been spelt out by the President of India in her address to the Parliament, for the Higher Education sector. The measurement methodology is also simple, in terms of number of seats added by new Institutions (under Expansion), achievement of percentage of OBC reservation (under Equity).Action points listed under Excellence (AICTE Reforms and TEQIP-II) are not quantifiable.

Section 5

Specific performance requirements from other departments that are critical for delivering agreed results

The most important expectations or factors for success in terms of cooperation from other departments and State governments are as follows:
1. Timely response from all concerned Ministries/Departments on Policy during inter-Ministerial Consultations
2. Timely vetting of draft laws by Ministry of Law and Justice
3. Concurrence of Planning Commission and Ministry of Finance for allocation and release of funds.
4. Societies for the new IIMs to be registered by respective State Governments at Ranchi (Jharkhand), Raipur (Chhattisgarh) and Tiruchirapalli (Tamil Nadu) so that land can be transferred to these Societies.
5. Uttarakhand Government has not provided land for setting up of world class University so far.
6. Himachal Government has also not provided land for setting up of IIT.

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